

# Prosthetic Mammoplasty Sensitivity Syndrome: A Case for Causation

S. A. Audino & S. V. M. Maharaj\*

Center for Research on Environmental Medicine, New Market, MD 21774, U.S.A.

\*Address all correspondence to: Dr. S. V. M. Maharaj, Center for Research on Environmental Medicine, P.O. Box 224, New Market, MD 21774, U.S.A.; Tel.: (301) 829-4184; Fax: (301) 829-4911; svmm@environmed.org (preferred for communication).

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**ABSTRACT:** Treatment of patients experiencing adverse health effects following prosthetic mammoplasty has suffered from a lack of an acknowledgment of a causal relationship to their breast prosthetic devices. Case reports and case series showing an association between adverse health effects and breast implants have been routinely dismissed as anecdotal, and epidemiological studies have been considered necessary to prove causality. We show that epidemiological research is not necessary for establishing a causal relationship, and one properly documented case can be, in fact, all that is needed to show causation. Presently in the peer-reviewed literature there exists a substantial scientifically sound body of data showing an association between breast implants and adverse health effects. Ample evidence has shown that exposure to the five common types of breast implants outlined, i.e., silicone gel filled, saline filled, double lumen, polyurethane coated, and cohesive silicone, has caused adverse health effects in humans. Prosthetic mammoplasty sensitivity syndrome (PMSS) is the proposed term to describe the disease processes documented in the literature that has a causal relationship to breast implants.

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**KEY WORDS:** adverse health effects, breast implants, causation, medical devices, PMSS, silicone

## I. INTRODUCTION

### I.A. Background

Prosthetic mammoplasty is plastic surgery on the breast by insertion of an artificial part, a breast implant, for reconstruction or augmentation. Five types of breast implants that have been placed are: silicone gel filled, saline filled, double lumen, polyurethane coated, and cohesive silicone. Silicone gel-filled implants contain silicone gel enclosed in a silicone elastomer envelope. Saline-filled breast implants contain saline fluid enclosed also in a silicone elastomer envelope. Double-lumen implants usually contain silicone gel surrounded by a smaller outer pocket of saline fluid, with each filler material enclosed in a silicone elastomer envelope. Polyurethane-coated implants contain silicone gel enclosed in a silicone elastomer envelope coated with polyurethane foam. Cohesive silicone implants are anatomically shaped, and contain a more highly cross-linked form of silicone gel (than traditional, round silicone gel-filled implants) enclosed in a silicone elastomer envelope.

### I.B. Experimental Studies

Silicones are cross-linked synthetic polymers of alternating silicon and oxygen atoms with organic groups attached to the silicon atoms.<sup>1</sup> Earlier studies suggested that silicones were physiologically inert,<sup>1,2</sup> and therefore biocompatible.<sup>3-5</sup> However, physiologically or chemically inert does not mean that no immune response is mounted. Indeed, the experimental literature has since shown that silicones induce protein adsorption,<sup>6-9</sup> activation of macrophages,<sup>7,10</sup> macrophage-rich inflammation,<sup>11,12</sup> fibrosis,<sup>12</sup> activation of human monocytes,<sup>13</sup> estrogenic activity,<sup>14</sup> reactive synovitis,<sup>15</sup> hypergammaglobulinemia,<sup>10</sup> exacerbation of autoimmune disease,<sup>16</sup> and autoantibodies.<sup>17,18</sup> Moreover, approximately 200,000 adverse event reports about breast implants have been submitted to the U.S. Food and Drug Administration from 1985 to 2005.<sup>19</sup>

### I.C. Epidemiological Studies

A number of epidemiological studies have been conducted in an attempt to elucidate a possible link between breast implants and adverse health effects in humans. Epidemiological studies have shown a small increased risk in the frequency of connective-tissue autoimmune diseases with breast implants,<sup>20</sup> or no significant increase with breast implants in general<sup>21-23</sup> or with silicone gel-filled implants in particular.<sup>22,24,25</sup> Limitations of the above studies include an ability to detect an increase in only classically defined connective-tissue diseases,<sup>20</sup> inadequate sample size<sup>21,23-25</sup> to assess the occurrence of uncommon connective-tissue diseases, and inadequate follow-up<sup>21-25</sup> given the long latency period between exposure and connective-tissue autoimmune disease development.

## II. CAUSATION

### II.A. Case Reports and Series

Case reports and case series have been dismissed as anecdotal<sup>26,27</sup> and inferior quality<sup>28,29</sup> to epidemiological research, and the latter has been frequently cited as required to infer causality,<sup>30-33</sup> essential to establish ill effects,<sup>34</sup> or needed to assess risk factors.<sup>28,35</sup> However,

epidemiological evidence may show only whether there is an increase in the incidence or prevalence of the specific diseases studied, and their magnitudes. They have not shown, therefore, that breast implants have caused no adverse health effects in humans, as in our experience, lay persons and experts alike have commonly misunderstood their results to mean. Furthermore, studies showing similar rates of disease in breast implant populations and control groups do not preclude that for an individual case in the study, the prosthetic device did not cause the morbidity. In other words, no amount of epidemiological studies failing to find an association of disease risk precludes it for the study patient, and much less so for the presenting patient that was not part of the group being followed. If we cannot use case reports and case series to infer association or causation for a similarly exposed population,<sup>36</sup> the converse is also true. We must not use epidemiological study results to infer risk for presenting patients. Epidemiological evidence, therefore, is not necessary for establishing a causal relationship.<sup>37</sup>

Medical inference of causation should be based on an evaluation of multiple lines of evidence.<sup>38</sup> The best evidence to determine whether causation exists for the presenting individual comes from the clinical observations of a carefully conducted case study. Contrary to what many experts seem to think,<sup>27,33,39-42</sup> one properly documented case can be, in fact, all that is needed to show causation.

### II.B. Peer-Reviewed Literature

Fifteen years ago, the body of literature on this subject was already almost too large to deal with.<sup>43</sup> Indeed, a recent PubMed database search,<sup>44</sup> using a number of search terms, produced more than 2000 results linking breast implants and adverse effects. An all-encompassing review of the literature, therefore, is beyond the scope of this work. However, a first-pass read reveals many peer-reviewed journal publications where authors have associated adverse health effects in humans with breast implants. Adverse health effects, as defined here, do not include aesthetic complications (e.g., capsular contracture), or complications associated with breast implant surgery. When it was not clear whether a

publication was peer-reviewed, an inquiry was sent to the journal or corresponding author for clarification. Discussions on study limitations and critical appraisals may be included in the original references and/or the literature.

Many different research groups have reported on a wide range of clinical data showing adverse health effects directly or indirectly attributable to the five common breast implant types outlined, for example: silicone gel filled,<sup>45–69</sup> saline filled,<sup>50,56,70–77</sup> double lumen,<sup>56,78,79</sup> polyurethane coated,<sup>56,79–85</sup> and cohesive silicone.<sup>86–88</sup> Adverse health effects attributable to silicone gel-filled breast implants include: rheumatic manifestations,<sup>53,58,59</sup> chronic fatigue syndrome,<sup>52,66</sup> gel migration to the liver<sup>54,60</sup> and as far as the shins,<sup>68</sup> systemic tissue damage,<sup>51</sup> adult Still's disease-like illness,<sup>63</sup> a scleroderma-like process,<sup>61</sup> precipitated or aggravated scleroderma,<sup>50,69</sup> multiple sclerosis-like syndrome,<sup>62</sup> systemic lupus erythematosus-like disorder,<sup>49</sup> and an enhanced spread of cancer.<sup>48</sup> Adverse health effects attributable to saline breast implants include: late intracapsular hematoma,<sup>76</sup> synovial metaplasia,<sup>72</sup> atypical chest pain syndrome,<sup>56</sup> hypersensitivity,<sup>75</sup> unclassified<sup>71</sup> and defined connective tissue disease,<sup>70</sup> systemic sclerosis,<sup>50</sup> and anaplastic large-cell lymphoma.<sup>77</sup> Inflammation and foreign-body tissue reactions have been caused by double-lumen,<sup>78</sup> polyurethane-coated,<sup>80</sup> and cohesive-silicone implants,<sup>86</sup> with late breast pain,<sup>81</sup> synovial metaplasia,<sup>82,85</sup> polyurethane migration to lymph nodes,<sup>83</sup> atypical chest pain syndrome,<sup>56</sup> and hypersensitivity<sup>84</sup> in individuals exposed to polyurethane-coated breast implants, and gel migration to lymph nodes<sup>87</sup> and hypersensitivity<sup>88</sup> in individuals exposed to cohesive silicone implants.

There is a consistency of adverse health effect results across different researchers in different locations using different methods with patients from different cultures involving different implant types. Authors have come to the same conclusions, i.e., that breast implants play a role in the etiology of adverse health effects in humans, with many concluding that the role is one of causation, e.g., “there is strong clinical and pathological evidence for a causative role.”<sup>77</sup> Indeed, a critical review<sup>37</sup> of the criteria necessary

to establish medical causation<sup>38</sup> concludes that the criteria, for example, the large number of patients and reports, the temporal relationship of breast implant exposure and response, biological plausibility, and that disease is increased by presence and decreased by absence of the devices, have been met.

### 1. Additional Evidence

Data from toxicology, animal studies, other silicone implanted devices,<sup>89</sup> silicone injections, as well as non-peer-reviewed editorials,<sup>90,91</sup> letters to the editor,<sup>92,93</sup> and abstracts<sup>94</sup> also support a causal relationship between breast implants and disease. Additionally, many peer-reviewed epidemiological studies where authors did not conclude that there was a causal association between breast implants and disease contained data that could be interpreted as additional evidence for causation; for example: higher prevalence of unusual symptoms in breast implant patients,<sup>21,23</sup> laboratory abnormalities among breast implant patients consistent with disease,<sup>95</sup> higher rates of connective tissue disorders and other conditions in implant patients vs. controls,<sup>96</sup> other adverse health conditions,<sup>25,97</sup> including cancer<sup>98–103</sup> in women who received breast implants, and an increased risk for suicide in women opting for breast augmentation<sup>104,105</sup> and reconstruction.<sup>101</sup>

Likewise, in many peer-reviewed case reports authors did not conclude that a causal relationship exists, but evidence in the future may show causation to breast implants for additional, e.g., hypersensitivity reactions,<sup>106,107</sup> autoimmune disease,<sup>108–110</sup> including adult-onset Still's disease,<sup>111</sup> and cancer,<sup>112</sup> including anaplastic large-cell lymphoma.<sup>113,114</sup> Therefore, we must remain cognizant that both the peer-reviewed and non-peer-reviewed literature presently contain invaluable data that after additional careful study will most likely reveal further or as-yet-undetermined morbidity causally linked to breast implants. Also, given that latency periods of more than 30 years are possible before immunopathological disease develops,<sup>115</sup> we can expect that the literature will continue to evolve to support a causal relationship.

### II.C. Prosthetic Mammoplasty Sensitivity Syndrome

The term *prosthetic mammoplasty sensitivity syndrome* (PMSS) is proposed to describe the disease processes characterized in the literature that have been (and those expected to be) associated with these medical devices. Previous terms reflect an emphasis on disease associated with silicone gel-filled breast implants, and include (chronologically): silicone reactive disorder,<sup>116</sup> adjuvant breast disease,<sup>57</sup> silicone-related disorders,<sup>117</sup> siliconosis,<sup>51</sup> silicone implant associated syndrome,<sup>58</sup> human adjuvant disease,<sup>62</sup> silicone breast implant adjuvant syndrome,<sup>118</sup> and silicone-related symptom complex.<sup>119</sup>

PMSS is preferred as more accurate. “Prosthetic” qualifies mammoplasty, as the procedure may also be performed using autogenous tissue, and “prosthetic mammoplasty” may be used for either reconstructive or augmentative mammoplasty. “Prosthetic” is also more inclusive than “silicone,” reflecting the different types of implants that have been proposed as plausible to cause illness,<sup>106</sup> and those documented in the literature to have done so. “Sensitivity” may be used for local or systemic, immediate or delayed, cell-mediated or humoral, and allergic or toxic responses.

### II.D. Patient Population

Compared to the total number of individuals that have had breast implants placed, the number of individuals that have developed adverse health effects of clinical concern following prosthetic mammoplasty is relatively small. However, adverse health effects following prosthetic mammoplasty are more widespread than is commonly appreciated. Most of the population with breast implants have been lost to follow-up,<sup>120</sup> therefore, the true incidence and prevalence of disease, including autoimmune,<sup>121</sup> in this population is not known.

In addition, it is commonly assumed<sup>122</sup> that what is reported in the literature represents the prevalence of a disease. However, far fewer than the actual number of patient case reports, series, etc., are published. For example, we have medical reports of many individuals, and know of many

more, suffering adverse health effects most likely as a result of their breast (or testicular) implants, of which there is no record in the literature; and this situation is true for other researchers as well.<sup>115</sup> The literature, therefore, represents the minimal number of affected cases. That much more evidence exists than is published, makes the case for a causal relationship between breast implants and adverse health effects in humans even stronger than is readily apparent.

### II.E. Possibility of Chance

Adverse health effects following prosthetic mammoplasty would occur in some individuals based on chance alone. Just as it is a fallacy to conclude that all adverse health effects that occur following prosthetic mammoplasty should be attributed to the devices, it is equally fallacious to conclude that none are, though this is what is routinely suggested when case reports and case series are dismissed.<sup>28</sup> Following prosthetic mammoplasty, the likelihood that breast implants will cause adverse health effects is obviously much smaller compared to the likelihood that they have when there has been documented illness; however, critics often use the likelihood of the former as evidence against the likelihood of the latter.

The breast implant population is a non-random group. Critics have asserted that it is unacceptable, therefore, to compare non-randomly sampled group results to a normal population,<sup>123</sup> but the possibility that, e.g., the association of connective-tissue disease following silicone implantation is just chance indeed can be negated by statistics.<sup>124</sup>

The possibility that the association of adverse health effects following prosthetic mammoplasty is merely coincidental is also negated by higher incidences of connective tissue disease, e.g., progressive systemic sclerosis,<sup>124</sup> autoimmune diseases,<sup>73</sup> and several humoral parameters<sup>73</sup> in individuals exposed to breast implants vs. respective normal populations. Furthermore, if disease is a random occurrence in the breast implant population, the relative prevalence rates for various rheumatic diseases in the implant population would be similar to the general female population, but it is not.<sup>95,125</sup>

Finally, if the association is just chance, we would not be recommending explantation as a therapeutic approach when symptoms cannot be medically managed<sup>71,107</sup> or explantation in patients with severe connective tissue disease<sup>109,126</sup> or not considering patients at all with symptoms or active connective tissue disease,<sup>126</sup> or those even at risk for autoimmune diseases,<sup>107</sup> for silicone breast implants.

### III. CONCLUSIONS

Many research groups have reported on a wide range of clinical data showing adverse health effects attributable to the five major breast implant types outlined: silicone gel filled, saline filled, double lumen, polyurethane coated, and cohesive silicone. There is a consistency of adverse health effect results across the different researchers in different locations using different methods with patients from different cultures involving the different implant types. Many authors have concluded that breast implants play a causative role in the etiology of adverse health effects in humans. Prosthetic mammoplasty sensitivity syndrome is the proposed term for the disease processes documented in the literature that have resulted following prosthetic mammoplasty. It may be used to describe adverse health effects following exposure to at least the five common breast implant types outlined, and may present as pathology as relatively mild as inflammation to as severe as breast cancer. In the interest of presenting patients, we hope that this study will provide the next step in further understanding, defining, and reaching a consensus regarding the diagnostic criteria for PMSS, a syndrome that has a causal relationship to breast implants.

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